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<b>(21) International Application Number:</b> PCT/NL99/00222 <b>(22) International Filing Date:</b> 16 April 1999 (16.04.99)  <b>(30) Priority Data:</b> 1008934                      20 April 1998 (20.04.98)                      NL  <b>(71) Applicant (for all designated States except US):</b> UNIVER- SITEIT TWENTE [NL/NL]; Postbus 217, NL-7500 AE En- schede (NL).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> HEIDEMAN, René, Gerrit [NL/NL]; Sparstraat 40, NL-7572 TK Oldenzaal (NL). LAMBECK, Paul, Vincent [NL/NL]; Schubertlaan 20, NL-7522 JR Enschede (NL). VELDHUIS, Gerrit, Jan [NL/NL]; Mendelsohnstraat 10, NL-7557 BH Hengelo (NL).  <b>(74) Agents:</b> HOOIVELD, Arjen, Jan, Winfried et al.; Arnold & Siedsma, Sweelinckplein 1, NL-2517 GK The Hague (NL).		<b>(81) Designated States:</b> AU, CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>In English translation (filed in Dutch).</i>
<b>(54) Title:</b> INTEGRATED OPTICAL LIGHTGUIDE DEVICE  <div data-bbox="313 1150 1304 1415" data-label="Image"> </div> <b>(57) Abstract</b> <p>In an integrated optical lightguide device comprising a light-transmitting layer and an inclusion layer, an activable element is divided into several individual segments. Groups of segments thereby exhibit mutually different refractive index profiles, material profiles or mutually different degrees of activability as regards the refractive index profile, which have been effected by different, suitable methods. Thus, repeated adjustable or controllable transmission has resulted in an extremely sensitive waveguide system, for example for a sensor, a modulator, a spectrophotometer and the like.</p>		

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## INTEGRATED OPTICAL LIGHTGUIDE DEVICE

- 5 The invention relates to an integrated optical lightguide device comprising a light-transmitting layer and inclusion layers and being provided with an activable element.
- 10 A device of this kind is known from the article "Fabrication and packaging of integrated chemico-optical sensors" by R.G. Heideman et al., published in Sensors and Actuators B 35-36, 1996, pp. 234-240. Besides sensors and actuators in a general sense, said article
- 15 describes in particular a Mach-Zehnder interferometer comprising a sensor film, which is for example sensitive to air humidity. Said article furthermore describes an embodiment wherein an optical fibre for light supply is integrated in the Mach-Zehnder sensor.
- 20 Generally, such a device is much too complicated for practical applications and thus relatively costly, and in some cases it is sensitive to interference signals or to small deviations between intended and realised local
- 25 refractive index profiles.

The operation of known integrated optical sensors and actuators, such as the Mach-Zehnder interferometer, is usually based on a phase change of the light being used,

30 which is induced by the activating quantity, which imposes restrictions as regards the light sources to be used for that purpose. For the lightguide structures to be used this means furthermore that any transitions in the optical structure, among which also the transitions

35 to and from the activable element which takes care of the intended actuator or sensor operation, will have to be provided very gradually in the direction of the light propagation, as a result of which they will be

relatively long.

The object of the invention is to obviate the above drawbacks, and in order to accomplish that objective an  
5 integrated optical lightguide device of the kind mentioned in the introduction is characterized in that said activable element is divided in a light propagation direction of the lightguide into several, mutually separated individual segments having different  
10 refractive index profiles and/or different material profiles. Said segmentation may relate to a slab-type lightguide as well as to a channel-type lightguide. This optical lightguide device can for example be used as a  
15 spectrophotometer.

The invention is essentially based on the insight that when a guided light beam passes through a boundary surface between two light-transmitting parts having  
20 mutually different refractive index profiles, the portion of the light that is transmitted by said boundary surface as a beam guided within the light-transmitting structure is determined by the difference in the refractive index profile between said two light-  
25 transmitting parts. Especially the degree of light reflection resulting from said difference in the refractive index profiles and the degree to which the light exits the lightguide in the form of radiating modes as a result of a mismatch of the guided mode field  
30 profiles of the light being used on either side of said boundary surface are the determining factors in this case. When a change in the value of an external physical or chemical quantity directly or indirectly causes the refractive index profiles of the lightguides on either  
35 side of the boundary surface change to change to different degrees, the consequent changes in the effective refractive indices and the mode field profiles

will produce a change in the amounts of light reflected on the boundary surface, in the light beams transmitted by the boundary surface as guided modes as well as in the light beams emitted on the boundary surface in the form of radiating modes. Thus, the (change in the) amount of light transmitted as a guided light beam is determined by and constitutes a measure of the (change in the) external quantity. Instead of the light transmission, also the amount or the distribution of the light converted into radiating modes and/or the amount of reflected light may function as a measure of the (change in the) external quantity. Essential in this respect is the fact that the intended effect does not depend on the degree of coherence of the light being used, and consequently it is also possible to use inexpensive, non-coherent light sources, such as light-emitting diodes (LEDs), fluorescent lamps, halogen lamps, Xenon lamps etc. as a light source besides relatively expensive gas lasers, solid matter lasers and/or laser diodes.

Although the changes may be relatively small in the case of a single transition, the use of many transitions succeeding each another in the direction of the light propagation may produce significant effects. Essential in this respect is that the degree of repetition of said transitions, and thus of the segments of the activable element, does not need to be periodical, because the operating principle is not based on phase information of the light being used, although it is also quite possible for a device according to the invention to use periodically repeated segments.

In one preferred embodiment, the device comprises, in succession, a carrier, a first inclusion layer, a light-transmitting layer and a second inclusion layer. If suitable specifications are used, in particular as

regards the refractive index, said carrier can also function as a first inclusion layer.

The forming of such layer structures can be done with  
5 well-defined, controllable techniques which are known  
per se. Thus, layers having a precisely defined  
thickness and composition can be realised by means of  
vaporisation, CVD techniques and the like. In one  
preferred embodiment, channel-type lightguides are  
10 formed in said layer structures by means of e.g.  
photolithographic techniques and etching techniques. In  
a device according to the invention, the activable  
element is built up of segments of at least two  
different kinds. Segments belong to the same type if  
15 they exhibit similar refractive index profiles and  
material profiles in a plane perpendicularly to the  
propagation direction of the lightguide. Accordingly,  
segments of the same type exhibit the same degree of  
activability, that is, the effective refractive indices  
20 and the mode field profiles of the guided modes in  
segments of the same type are influenced to the same  
degree by the quantity to which the activable segment is  
sensitive. The dimensions of segments, measured in the  
propagation direction of the lightguide, range between  
25 approx. 1 micron and a few dozen micron.

Activable segments contain an activable material, which  
in this case means a material whose refractive index  
value depends on the quantity of an external quantity.  
30 These materials include for example chemico-optical  
transduction materials, whose refractive index depends  
on the concentration of a specific substance or of  
several substances. Besides the above materials, also  
thermo-optical, electro-optical, magneto-optical, opto-  
35 optical and elasto-optical materials can be used, which  
can be activated by, respectively, a temperature change,  
an electric field, a magnetic field, a light intensity

and a mechanical stress or stretch.

In one preferred embodiment, said activable element consists of a succession of two types of segments, wherein each type exhibits a different degree of activability.

In another preferred embodiment, the activable element consists of a succession of two types of segments, with the activability of one of said types being zero. In this case, the activable segments are monotype activable segments, which are mutually separated by segments which are insensitive to the quantity, the so-called bridge portions.

15

In another preferred embodiment, segments exhibiting different degrees of activability are formed by:

- the local removal of (part of) the light sealing layer covering the light-transmitting layer, whether or not simultaneously with parts of the underlying light-transmitting layer, or
- the local removal of the light-transmitting layer, or
- the local application of one of the component layers.

25

More in particular, the spaces thus formed are filled partially or entirely with a material exhibiting a different degree of activability than the removed material or the locally applied material.

30

In another embodiment, said spaces are filled entirely or partially with a liquid or a gas, whose composition determines the refractive index profile of the segments containing said liquid or said gas. This embodiment is especially suitable for measuring the composition of a liquid or a gas mixture or for determining the concentration of the substances which are dissolved in

35

said liquid.

The local removal of the inclusion material can be realised mechanically, e.g. by stamping in the second inclusion layer, and in particular also by means of photolithography and etching after the application of the second inclusion layer. Thus, a large number, for example hundreds of successive segments can be realised on a relatively short waveguide, for example a waveguide having a length dimension of one mm to a few mm. The above also applies if there are more than two mutually different types of segments.

Such segments may have unequal dimensions and/or be spaced unequal distances apart. The positioning and dimensions of different types of segments can be selected at random, therefore, so that an extra degree of freedom is obtained.

It should be noted that WO 8908273 discloses an optical sensor structure wherein an optical fibre core or another lightguide is covered with an interrupted cladding layer, in such a manner that a transition between water and ice in the cladding causes the sensor operation to switch from wave guidance, that is, functioning as a light-transmitting element, to non-guidance, or vice versa. Thus it is possible to detect the presence or absence of a chemical substance, or in this case water or ice, by means of such a transition.

Another preferred embodiment of the invention is built up of two types of segments, one of which is activable, whilst the other is not. The two types of segments differ from each other as regards the nature of the inclusion material or the light-transmitting material. In one type of segment said material is activable and in the other it is not; the latter material is the so-



called bridge material. In this embodiment the refractive indices of the bridge material and the sensor material are geared to each other with a view to achieving an optimum sensitivity of the activable  
5 element for variation of the activating quantity within a particular range. Said gearing to each other implies that a value of the activating quantity exists within said particular range, with the corresponding value of the refractive index of the activable material being  
10 equal to that of the inclusion material or the light-transmitting material. This point is called the working point of the activable element.

The bridge material for an activable element by means of  
15 which the relative humidity can be measured may for example consist of SiON having a refractive index of for example 1.50, and of a material which is sensitive to air humidity, for example gelatin, having a refractive index range of 1.53 - 1.47 in the air humidity range of  
20 0 - 100%. By increasing the number of segments it is possible, using the same materials, to obtain an extremely steep sensitivity to air humidity, to be defined as a peak-like sensitivity, over a smaller part of the refractive index range to be measured around the  
25 air humidity value which corresponds with a gelatin refractive index of 1.50. By increasing the number of segments, said peak effect can even be enhanced. Such a peak-like response can be used as a switching pulse in an electronic circuit composed for that purpose. This  
30 method, wherein the number of segments is selected so that a change of a quantity to be measured will result in a peak-like response, can also be used for other sensor applications. Such a sensor is in particular useful for measuring the composition of a liquid or a  
35 gas mixture, for example, for the purpose of checking chemical processes or for use in alarm systems to signal the exceeding of humidity limits or the occurrence of

inadmissible air or water pollution. The selection of a refractive index of 1.53 for the bridge material makes it possible to measure refractive index values in the range of 1.52 - 1.53 with great sensitivity, for  
5 example. This range corresponds with an air humidity range of 90 - 100%.

In another preferred embodiment, the activable element consists of a light-transmitting material, for example a  
10 ridge-type light-transmitting channel, which possesses a constant cross-sectional dimension, and the inclusion layer alternately consists of an activable and an at least substantially non-activable material over the entire width of the mode profile which relevant for the  
15 light transmission in the direction of propagation of the light, by which the segments of the activable element are defined.

In another preferred embodiment, the activable material  
20 consists of a light-transmitting channel, for example a ridge-type light-transmitting channel, wherein the two types of segments differ from each other as regards the channel width. The two widths are geared to each other in such a manner that when only one type of activable  
25 inclusion material is used as a cladding material, the mode field profiles in both types of segments are at least substantially identical for a relevant value of the activating quantity. When the value of the activating quantity changes, the mode field profiles  
30 will change in the opposite sense, that is, the mode field profile of one type of segment will become wider, the mode profile of the other type of segment, on the other hand, will become narrower, resulting in a mode field profile mismatch as described before, as a result  
35 of which the amount of light transmitted as guided modes on a boundary surface between segments of different types will change, as will the amount of light converted

into radiating modes on said boundary surface and the amount of reflected light.

In another preferred embodiment of a sensor, a reference  
5 channel, for example for temperature correction, is incorporated in the device in addition to an activable element of a channel-type lightguide. By using the reference channel as a dummy, which will not come into contact with the medium to be measured, therefore, a  
10 reference signal is obtained, which makes it possible to carry out absolute measurements.

In another preferred embodiment, the activable segments are formed by local physical and/or chemical treatment  
15 of the inclusion material and/or the light-transmitting material. Thus, an activable inclusion material can be deactivated partially or entirely by means of electromagnetic radiation, for example by UV irradiation, as a result of which the irradiated  
20 segments have become non-activable or less activable, contrary to the non-irradiated segments, or react differently, at least in dependence on the refractive index thereof, to such a degree that a usable signal change can be obtained.

25

In another preferred embodiment, the light-transmitting channel is defined as a strip-loaded (provided with a strip) type of light-transmitting channel within the activable element by applying to said light-transmitting  
30 layer a layer of an activable material having a constant thickness of for example 1 - 200 nm, and subsequently removing said material, using suitable techniques, outside the area to be defined as a channel.

Alternatively, the strip-loaded type light-transmitting  
35 channel can be formed by applying the activable inclusion layer only at the location of the channel area by means of local chemical or physical treatment either

of the area to be defined as the channel area or of the area that does not form part of the channel area. Subsequently, the activable segments and the segments that are not activable or less activable are defined by  
5 local treatment of the inclusion material. As a result of this local treatment, the refractive index will hardly vary, if at all, at least at a particular wavelength, as a result of which the refractive index profiles of the two types will be substantially or  
10 completely identical at a zero value of the activating quantity, and a maximum transmission of the guided mode will be obtained.

In another preferred embodiment of such a channel-type  
15 activable element, for example a strip-loaded or ridge-type element, the activable inclusion material is a chemico-optical material, which can be used for concentration determination in biological tests, in particular pregnancy tests. Activable and less activable  
20 segments are defined by local treatment in this activable material, for example by local deactivation by means of local electromagnetic radiation, for example with UV light.

25 In another preferred embodiment, the light-transmitting layer is homogeneously coated with an activable layer having a thickness of e.g. approximately 1 - 200 nm. This activable layer is subjected to local chemical or physical treatment, as a result of which the degree of  
30 activation as well as the refractive index will change. The change in the refractive index of the activable layer is used for the definition of a strip-loaded type of segmented light transmission channel.

35 Since the differences in the refractive indices of the various types of segments will usually be small in the presence of the activating chemical entities, a

relatively large number of segments will be required. In order to realise this, it is possible to use holographic and Moiré lighting techniques in addition to using patterning by means of masks. Although the periodicity  
5 of the structures formed thereby is not required for most uses, this method is especially suitable for those devices according to the invention wherein a fine structure (length dimensions of the segments of for example less than 3 micron) is desired, or wherein  
10 specific requirements apply, for example as regards the gradients in the transitions between various materials of activable and non-activable segments.

In one preferred embodiment, the activable segments  
15 contain an electro-optical, thermo-optical, magneto-optical, opto-optical or elasto-optical material, as a result of which the transmission of the lightguide device can be controlled by variation of, respectively, the supplied electrical field, the temperature, the  
20 magnetic field or the mechanical stress or pressure in the material, thus forming an intensity modulator. In an alternative embodiment of said modulator, one of the layers forming the lightguide consists entirely of an activable material, but the lightguide is only activated  
25 locally. Local activation of for example electro-optical and thermo-optical actuators, for example, can take place by applying an electrical tension to locally provided electrodes. The parts provided with electrodes will form the activable segments in that case. In the  
30 case of excitation by means of said electrical tension, an electrical field or heating is effected at the location of said activable segments.

In one preferred embodiment, the activators make use of  
35 electrodes which have been provided on the activable segments by means of vaporisation, for example, or a similar technique. An electrical field can be applied by

means of such electrodes over a suitable medium whose refractive index can be varied with an electrical field, such as ZnO, as a result of which the guided light beam can be controlled via refractive index profile

5 variation, which in this case means that it is possible to manipulate the intensity thereof. The electrode on the activable element can also be use as a current supply wire for generating heat, as a result of which the reflective index profile will change when suitable

10 materials are used in the activable element, which makes it possible to control the guided light beam locally by means of refractive index profile variation. Besides the aforesaid materials and physical phenomena, also other materials and physical phenomena may be used for

15 intensity modulators if it is possible to generate a refractive index variation therein by means of external activators (whether or not by means of electrodes provided on the activable segments) and/or by means of external influencing, electrically, magnetically,

20 temperature, movement, force, distance, deflection, tension, pressure and the like.

In another preferred embodiment, said activable material consists of a light-transmission channel, for example a

25 ridge-type light-transmission channel, wherein the two types of segments differ from each other as regards the channel width. Said two widths are geared to each other in such a manner that the mode field profiles in the two types of segments are at least substantially identical

30 for a relevant value of the activating quantity. In this embodiment, the second inclusion layer and/or the light-transmitting layer are made up of only one activable material, wherein a non-patterned metal film functions as an electrode. When the value of the activating

35 quantity changes, the mode field profiles will change in the opposite sense, that is, the mode field profile of one type of segment will become wider, the mode profile

- of the other type of segment, on the other hand, will become narrower, resulting in a mode field profile mismatch as described before, as a result of which the amount of light transmitted as guided modes on a boundary surface between segments of different types will change, as will the amount of light converted into radiating modes on said boundary surface and the amount of reflected light.
- 10 In another preferred embodiment, the activable element consists of two types of segments, which can be activated by different quantities. Thus, one type of segment may contain a chemico-optical material, and the other type of segment may contain an electro-optical material, for example. Said types of segments have at least substantially the same cross-sectional dimension, whilst also the values of the respective refractive indices are geared to each other in such a manner that the refractive index profiles of the two segments are identical for a set of relevant values for each of the activating quantities. At this point, to be called the working point, the transmission factor of the activable element is maximally  $T_{\max}$ . In the case of a refractive index change induced by a quantity A, the other type of segment can be forced to undergo an identical refractive index change by means of a properly controllable value of activating quantity B, wherein the identicalness criterion is that the transmission factor be equal to  $T_{\max}$ . Thus, the value of the quantity A to be measured can be correlated unequivocally with the known value of quantity B. This process can be automated by means of a feedback loop.

35 In another preferred embodiment, the refractive index profile and/or the material profile at the location of non-activable segments can be optimized for wavelength-sensitive measurements, such that the amount of light

being transported through the device in guided modes is wavelength-dependent, as is the intensity distribution of the light emitted by the segments. Thus it is possible to realise a lightguide device in the form of a spectrometer. In such a spectrometer, an array of photosensitive segments, for example in the form of a photodiode array or a linear CCD chip, is added for measuring laterally emitted light, as a result of which a wavelength-sensitive measurement is realised by means of a location-dependent measurement, viz. in the propagation direction of the lightguide. To that end, the photodiode array contains a number of photodiodes in the propagation direction of the lightguide, and the CCD chip contains a number of elements by which the exiting light can be measured as a function of the propagation direction, thus making it possible to determine a diffusion distribution. The light detector may extend along the entire waveguide length or overlap only part thereof. A light detector array may be provided on one side or on both sides of the lightguide. Both the number of photodiodes of the array and the number of activable segments contribute to the wavelength-dissolving capacity in the diffusion direction.

In another preferred embodiment, activable segments are used, and that exclusively in transmission. No disturbance occurs in quiescent condition thereby. The electrodes are not excited in that case. As soon as excitation does take place, a disturbance will occur at each segment, due to a change in the refractive index profile. Said change is not a permanent change, therefore. Each situation, that is, each excitation voltage or current is associated with a particular value of each refractive index profile disturbance. Said resulting refractive index profile disturbance is now perceived differently for each wavelength, because the waveguide exhibits wavelength dispersion. Each



wavelength that is present will pass through the system to a different degree and thus have a different transmission value, therefore. The amount of light that passes through the lightguide has become wavelength-  
5 dependent, therefore.

In this situation, that is, upon activation by means of an excitation voltage or current, the total transmission is measured. Then said excitation voltage or current is  
10 increased, and the total intensity of the transmission light is measured anew. This is repeated several times in succession, that is, electrode voltages or currents having different values are used each time, and with each of said values a measurement is made of the amount  
15 of light exits from the entire system, whereby it is not known at that moment what wavelengths said light contains. On the basis of the amount of light determined by transmission measurement the spectral content of the presented light can be determined afterwards, after such  
20 a complete series of measurements has been made, using arithmetic algorithms. Subsequently, the activator is turned off again and all the light will pass through the total system, so that it will be freely available again.

25 Hereafter a few examples of a device according to the invention will be described in more detail with reference to the drawing. In the drawing:

Figure 1 shows a principle sketch of a device according  
30 to the invention;

Figure 2 shows an example of such a device, which is fitted with light supply and discharge means;

Figure 3 shows embodiments of a device comprising a reference channel;

35 Figure 4 shows different embodiments of activable segments of such a device;

Figure 5 shows an example of a device comprising an

integrated light source and detector;

Figure 6 shows examples of a device in the form of, respectively, a controllable intensity modulator and a spectrometer;

5 Figure 7 shows an example of a device which can be used as a spectrometer;

Figure 8 shows an example of a device wherein two kinds of activable segments operating on the different widths principle can be used;

10 Figure 9 is a general representation of a ridge-type channel-type lightguide and a strip-loaded channel-type lightguide; and

Figure 10 is a representation of a segmented strip-loaded lightguide.

15

A device according to the invention as depicted in Figure 1 comprises a carrier 1, a first inclusion layer 2, a light-transmitting layer 4 and a second inclusion layer 6. Present in inclusion layer 6 are activable

20 segments, in this case in the form of recesses 8 in the inclusion layer, which may extend into the light-transmitting layer for different uses. When the device is used as a sensor, for example for air humidity measurements, measurements of the composition of gases

25 or liquids and the like, said recesses are filled with a medium 17 having a refractive index which is sensitive to the quantity to be measured. Thus it is possible to use gelatin, polyimide etc. for air humidity

measurements. In order to realise a sensitive

30 measurement, it is possible to gear refractive index profiles optimally to each other at the location of bridge material 15 and sensor material 17. It is also possible to measure with direct refractive index variations for various measurements, that is, the medium

35 to be measured, for example a gas or a liquid, fills the recesses during the measurement and determines the refractive index thereof, which refractive index

determines the quantity to be measured, for example the concentration of a particular substance therein, or a particular proportion in a mixture of various liquids. An encircled portion 5 of the lightguide structure is shown on a larger scale in the same figure.

The drawing indicates in one of the recesses 8 a measure of the light intensity 10 entering in guided mode for a transition 12, for an intensity 14 reflected at said transition and of the intensity 16 transmitted in guided mode. Arrows 18 indicate that part of the incoming light beam is converted into radiating modes, which will (eventually) exit laterally. It is possible to measure the light exiting in a propagation direction 20 of the lightguide device and/or the light being emitted laterally.

It is furthermore noted that the recesses do not need to be identical or be evenly distributed. Nor is the operation of the device affected if one or more recesses continue deeper or less deeply into the light-transmitting layer or locally enclose said layer completely.

Figure 2 shows an integrated optical channel-type lightguide device, with recesses 8 being provided in inclusion layer 6 again, which recesses are filled with sensor material 17. A light entry fibre 26 is provided on an entry side 24 and a light detection fibre 30 is provided on an exit side 28. A device as described has a length of e.g. one centimetre and a width of a few millimetres, and the number of recesses it contains may range from just a few to a few hundred, depending on the application. Also other channel structures may similarly comprise a light entry fibre and/or a light detection fibre.

Devices as depicted in Figure 3 comprise one or more lateral channels which can be used as reference channels. This makes it possible to compensate external influences, such as the ambient temperature, partially or completely during the measurement and to realise absolute measurements. Figure 3A shows a reference channel 30 which does not pass any activable segments 32 and which is not influenced by the medium to be measured, therefore. Figure 3B shows an embodiment comprising a cuvette 34, which divides the guide into a measuring cuvette 36, which is activated, and a reference cuvette 38, which is not activated, even though it is provided with recesses for activable segments.

Figure 4 shows various embodiments of recesses for activable segments according to the invention, such as a rectangular shape 40, a conical shape 42 and a parallelogram shape 44. The free selection of said shapes provides additional freedom in the selection of sensors or actuators. Especially the degree of laterally emitted light can be varied with the geometry of the transitions. Furthermore, the recesses may be provided perpendicularly to the propagation direction of the guided light beam or at an angle deviating from 90° thereto, or have a different geometry.

It is noted that instead of being formed by recesses, segments may also be formed by a locally deviating physical or chemical treatment of an inclusion layer. Also in that case it is possible to provide the indicated different geometries. This can be realised without having to remove inclusion layer material, therefore.

A device as depicted in Figure 5 comprises an integrated light source 50, an integrated light detector 52 and

open, activable segments 7 as well as segments 9 which are for example provided with an electrode. In this case, such a light source can be selected on the basis of the price, the capacity and the possibility of  
5 integration, since the selection of the light source is not bound by specific emission bandwidth requirements to be made thereof, as long as no phase information of the guided light beam is measured. Quite advantageous is for example the use of an LED or a VCSEL light source. The  
10 two kinds of segments 7 and 9 may also be positioned in adjoining relationship, to which end the depicted device provides a feedback possibility. Thus it is possible to realise mutual influencing of the signals occurring on both segments, as a result of which it is possible to  
15 carry out the aforesaid maximum transmission method.

Two embodiments of an integrated optical lightguide device for use as an intensity modulator are shown in Figures 6a (in side view) and Figure 6B (in plan view).  
20 External control of the device as shown in Figure 6a is provided by activable segments 62, which comprise a lower electrode 61, which is required for electro-optical activation, and upper electrodes 60. The refractive index of the sensor material present under  
25 the electrodes is varied by means of electric control signals on said electrodes, as a result of which the prevailing refractive index profile at that location is changed and the degree of light transmission is controlled. It is noted that the actuator as shown in  
30 Figure 6b may also be configured so that the activable segments are formed by providing electrodes 66 on either side of the channel, as a result of which the refractive index of the material 68 between said electrodes will vary. As the figure schematically indicates, the device  
35 comprises a light source 64 and a light detector 65, in this case in the form of a photodiode.

The embodiments which are shown in Figures 6a and 6b can also be used as a spectrometer. In the non-activated condition of the electrodes 60, 66, incident light will pass the device substantially unimpeded. In the  
5 activated condition of the electrodes, wavelength-dependent light diffusion will occur, and a reduced amount of light will be emitted, therefore. By measuring the amount of emitted light by means of detector 65 in dependence on the degree of activation of the  
10 electrodes, the spectral distribution of the incident light can be calculated afterwards. The spectrally dissolving capacity of the device thereby depends on the number of segments, the sensitivity to dispersion of the transition between two neighbouring segments and the  
15 number of selected values of the activating quantity.

Figure 7 shows an embodiment of a spectrometer which cannot be electrically activated. Besides the known parts, this embodiment contains one or two light  
20 detector systems 70, for example in the form of a photodiode array or a (linear) chip comprising a linear array of photosensitive elements.

Laterally emitted light 18 from an entering light wave  
25 10 is measured in a locally sensitive manner by means of said detectors. Thus a light diffusion curve and thus the spectral distribution of the exiting light is determined on-line.

30 Figure 8 is a schematic representation of the embodiment of segments 8 and 8' exhibiting a varying width, seen in plan view A as well as in longitudinal sectional views B and C. The field profiles of the two kinds of segments are substantially the same in this embodiment, but the  
35 two types of segments exhibit different refractive index profiles. The illustrated embodiment is an embodiment which comprises an electrode 102, by means of which

intensity modulation and/or spectrometer applications can be realised. The embodiment as shown can also be used for sensor applications, however. No upper electrode 102 is present in that case, and an inclusion layer 100 consists of a sensor material. The illustrated longitudinal sections B and C are sectional views along lines 96 and 98, respectively. The widths of the illustrated segments 8 and 8' are geared to each other in such a manner that when a cladding of inclusion material 100 is present and a significant value of an activating quantity is applied, for example by means of electrode 102, the mode profiles will be at least substantially identical in both types of segments and will vary in opposite sense upon variation of said quantity. In this manner a highly sensitive device is realised.

Figure 9 shows two cross-sectional views of channel-type lightguides, a ridge-type channel lightguide (A) and a strip-loaded type channel lightguide (B), respectively. The ridge of a ridge-type channel lightguide (A) is formed by a local thickening 106 in the light-transmitting material 4. In a strip-loaded type channel lightguide (B), no light-transmitting material is present in outside channel 108. Both embodiments comprise a second inclusion layer 100.

Figure 10 shows by way of illustration a plan view A, a side view B and a cross-sectional view C of a segmented strip-loaded type channel lightguide which does not comprise a second inclusion layer 100. Such a device alternately contains activated segments 110 and non-activated segments 112, which together provide the channel-type light transmission.

## CLAIMS

1. An integrated optical lightguide device comprising  
a lightguide and being provided with an activable  
5 element, characterized in that said activable  
element is divided in a light propagation direction  
of the lightguide into several, mutually separated  
individual segments, wherein segments positioned  
adjacently to each other exhibit mutually different  
10 refractive index profiles and/or mutually different  
material profiles and/or mutually different degrees  
of activability.
2. An integrated optical lightguide device according  
15 to claim 1, characterized in that said activable  
material possesses chemico-optical and/or electro-  
optical and/or thermo-optical and or magneto-  
optical and/or opto-optical and/or elasto-optical  
properties.
- 20 3. An integrated optical lightguide device according  
to claim 1 or 2, characterized in that the  
proportion between the amount of light entering the  
activating element in the form of guided modes and  
25 the amount of light exiting the activable element  
in the form of guided modes at the exit can be used  
as a sensor for deriving a value for an activating  
quantity.
- 30 4. An integrated optical lightguide device according  
to claim 1, 2 or 3, characterized in that said  
device is provided, as a sensor for a chemical  
entity or a collection of chemical entities, with a  
material to be activated by chemico-optical means,  
35 whose refractive index is determined by the amount  
of said chemical entity or of a specific collection  
of chemical entities which has penetrated into said



chemico-optical material.

5. An integrated optical lightguide device according to claim 1, 2 or 3, characterized in that the value of an activating quantity can be used for intensity modulation, that is, for controlling the proportion between the amount of light entering the activating element in the form of guided modes and the amount of light exiting the activable element in the form of guided modes at the exit.
6. An integrated optical lightguide device according to claim 1 or 2, characterized in that said device comprises, in succession, a carrier, a first inclusion layer, a light-transmitting layer and a second inclusion layer.
7. An integrated optical lightguide device according to claim 1, 2, 3 or 4, characterized in that said activable element is a slab-type lightguide.
8. An integrated optical lightguide device according to claim 1, 2, 3 or 4, characterized in that said activable element is a channel-type lightguide.
9. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said activable element forms a ridge-type channel lightguide, wherein the cross-sectional dimension of the light-transmitting layer comprising a ridge does not depend on the location.
10. An integrated optical lightguide device according to any one of the preceding claims, characterized in that the channel-type lightguide is a strip-loaded type channel lightguide, whose cross-

sectional dimension does not depend on the location, wherein said strip is formed by local physical and/or chemical treatment of an activable material, whose optical properties are changed as a  
5 result of said treatment.

11. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said activable element forms a strip-loaded  
10 type channel lightguide and in that the material of the strip can be directly manufactured in the shape of a strip by local chemical and/or physical treatment of the surface of the light-transmitting layer or of a thin intermediate layer which present  
15 on said light-transmitting layer.

12. An integrated optical lightguide device according to any one of the preceding claims, characterized in that a reference channel extending parallel to the measuring channel is present therein.  
20

13. An integrated optical lightguide device according to any one of the preceding claims, characterized in that a light source and/or a light detector is  
25 (are) integrated therein.

14. An integrated optical lightguide device according to claim 1 or 2, characterized in that activable segments and bridge portions separating said  
30 segments have dimensions ranging from about one to a few dozen micron, measured in the light propagation direction of the lightguide.

15. An integrated optical lightguide device according to any one of the preceding claims, characterized in that a type of segment is defined by the fact  
35 that an activable material is incorporated at the

location of a window, either in the light-transmitting layer or in the second inclusion layer.

- 5 16. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said segmentation is effected by means of mechanical and/or photolithographic (etching) techniques.
- 10 17. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said segmentation is effected by means of holographic exposure techniques.
- 15 18. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said activable segments and/or bridge portions are formed by local physical and/or
- 20 chemical treatment of the inclusion material and/or the light-transmitting material.
- 25 19. An integrated optical lightguide device according to any one of the preceding claims, characterized in that a second inclusion layer is absent on one of said segment types, and that the space which is thus available can be filled with a liquid or a gas for the purpose of determining the refractive index.
- 30 20. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said activable element consists of a strip-loaded type channel lightguide, and in that said
- 35 segments and said channel are formed by local physical and/or chemical treatment of a uniformly applied activable inclusion layer.

21. An integrated optical lightguide device according to any one of the preceding claims, characterized in that a difference between activable and non-activable segments for realising an immune sensor is formed by means of electromagnetic irradiation of an inclusion layer containing antibodies.
22. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said sensor is adapted for pregnancy tests.
23. An integrated optical lightguide device according to any one of the preceding claims, characterized in that inclusion layers and/or a light-transmitting layer consist of an activable material, and segmentation for intensity modulation is effected during activation by locally subjecting said activable element to the influence of the activating quantity.
24. An integrated optical lightguide device according to claim 23, characterized in that said activable material forms an electro-optical layer of material and in that local activation takes place by means of a potential difference between a first electrically conductive layer, which is provided under said first inclusion layer,
25. An integrated optical lightguide device according to claim 23, characterized in that said activable material is a thermo-optical layer of material and in that an electrically conductive layer, which is provided on said second inclusion layer, exhibits an electrode pattern which corresponds to an intended pattern of activable segments, wherein local activation is realised by passing an electric current through each area of said electrically

conductive layer.

26. An integrated optical channel-type lightguide device according to any one of the preceding  
5 claims, characterized in that said activable elements exhibits two segment types, with different channel widths for a relevant value of an activating quantity for each type of segment being geared to each other so as to obtain a maximum  
10 guided mode transmission through the activable element.
27. An integrated optical lightguide device according to any one of the preceding claims, characterized  
15 in that refractive index profiles and/or material profiles at the location of transitions between activable segments and bridge portions, measured in a direction transversely to the propagation direction of the lightguide, are geared to each  
20 other for the purpose of optimising the sensitivity of the activable element to a specific quantity round a working point.
28. An integrated optical lightguide device according  
25 to claim 27, characterized in that said activable material exhibits an air humidity-dependent refractive index at the location of said activable material for the purpose of humidity measurement.
- 30 29. An integrated optical lightguide device according to claim 27 or 28, characterized in that refractive index profiles and/or material profiles at the location of transitions between activable segments and bridge portions are geared to each other for  
35 the purpose of realising an at least substantially linear air humidity response.

30. An integrated optical lightguide device according to claim 27 or 28, characterized in that refractive index profiles and/or material profiles at the location of transitions between activable segments and bridge portions are geared to each other for the purpose of realising a peak-like air humidity response round a particular air humidity value.
31. An integrated optical lightguide device according to claim 1, 2 or 3, characterized in that said activable material possesses electro-optical properties and that electrically conductive layers forming electrodes are provided under a first inclusion layer and on top of a second inclusion layer for the purpose of intensity modulation via control of the transmission from said activable element by means of electric voltage variation between the two electrodes.
32. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said activable material possesses electro-optical properties and that a conductive layer functioning as an electrode is provide on top of or under said second inclusion layer, on either side of the channel lightguide, outside the relevant mode field width for intensity modulation, for the purpose of controlling the transmission from the activable element.
33. An integrated optical lightguide device according to any one of the claims 1 - 7, characterized in that a refractive index profile and/or material profile at the location of non-activable segments can be used for wavelength-sensitive measurements, wherein the spectral content of the presented light can be determined from a location-dependent

measurement of the emitted light for spectrophotometric use.

34. An integrated optical lightguide device according to any one of the claims 1 - 7, characterized in that the refractive index profile and/or material profile at the location of non-activable segments can be adjusted or varied for wavelength-sensitive measurements, wherein the spectral composition of the light transmitted by the activable element in guided modes can be controlled for spectrophotometric use by presenting an activating quantity.
35. An integrated optical lightguide device according to any one of the preceding claims, characterized in that said activable element contains two types of segments  $S_1$  and  $S_2$ , wherein  $S_1$  can be activated by a quantity A and  $S_2$  can be activated by a quantity B which differs from quantity A, wherein  $S_1$  and  $S_2$  are incorporated in a feedback circuit in such a manner that, based on a criterion of a constant transmission through said activable element, the refractive index profile of  $S_2$  is kept equal to the refractive index profile of  $S_1$  by applying a suitable value B, so that quantity A is correlated with the adjusted value of quantity B.

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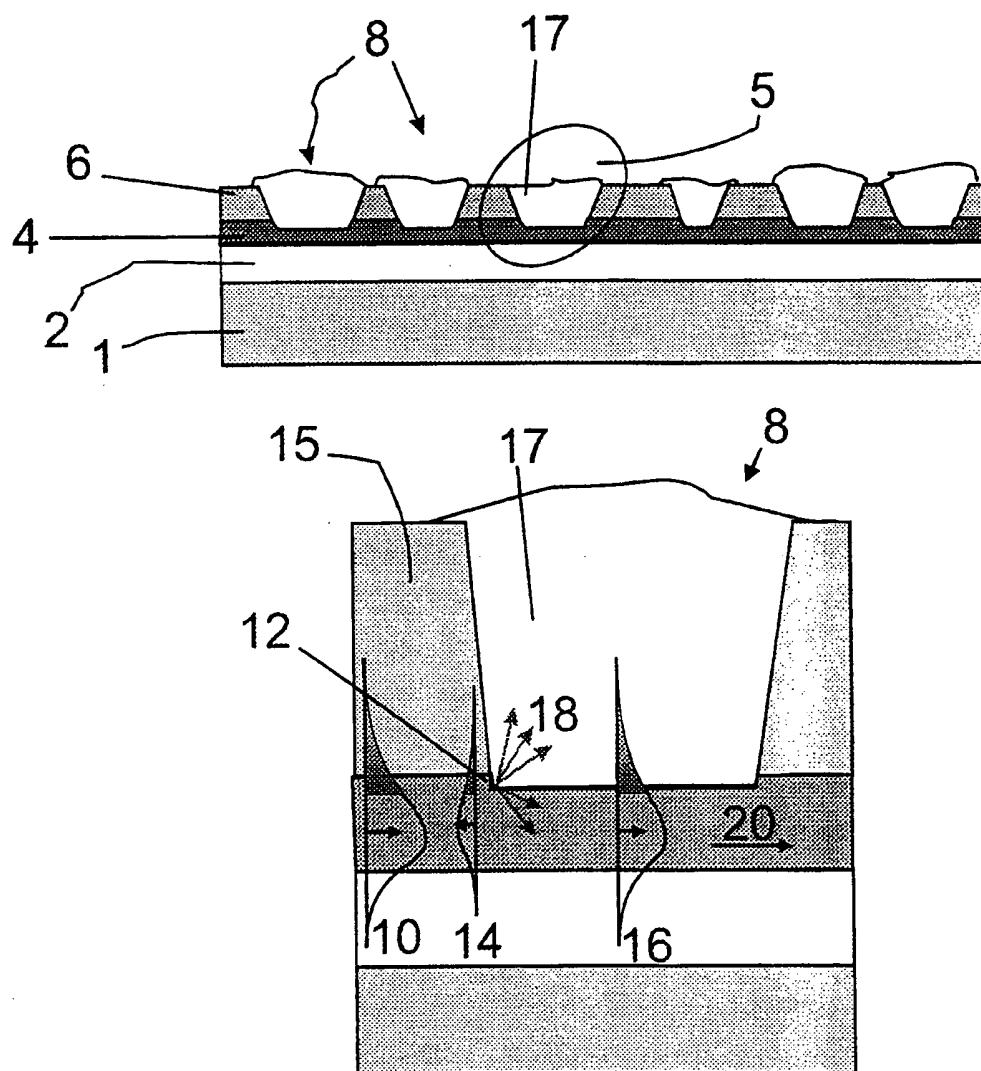


Fig 1



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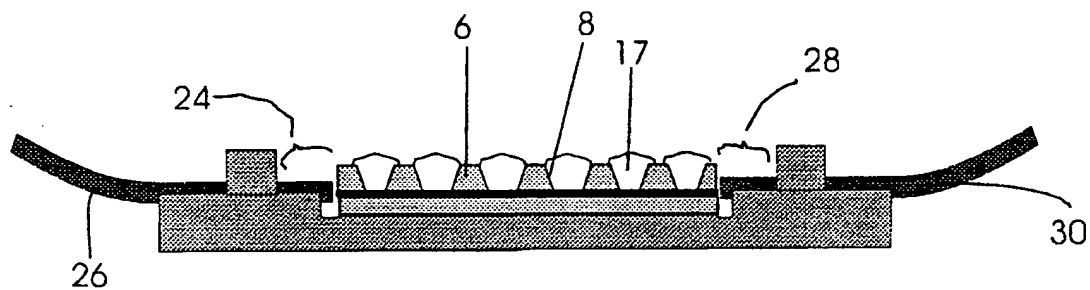


Fig 2

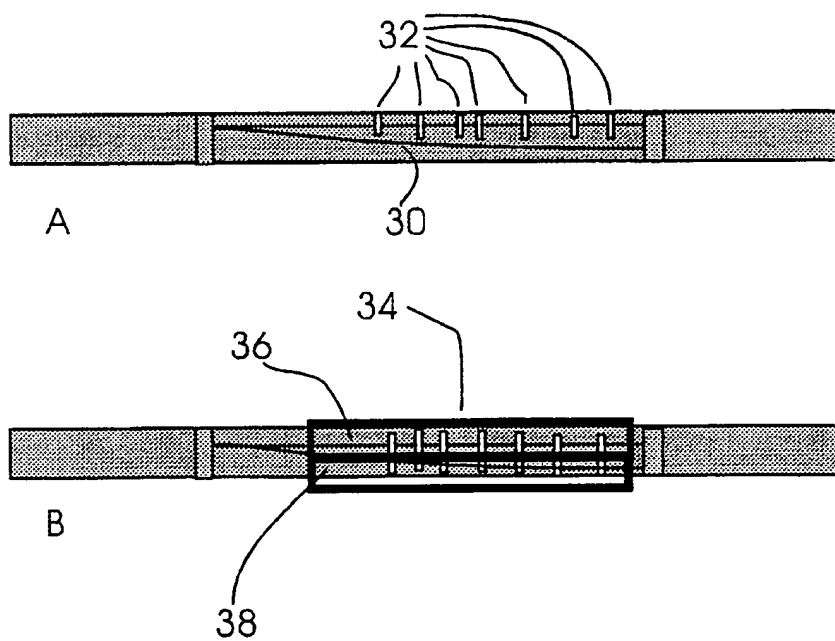


Fig 3

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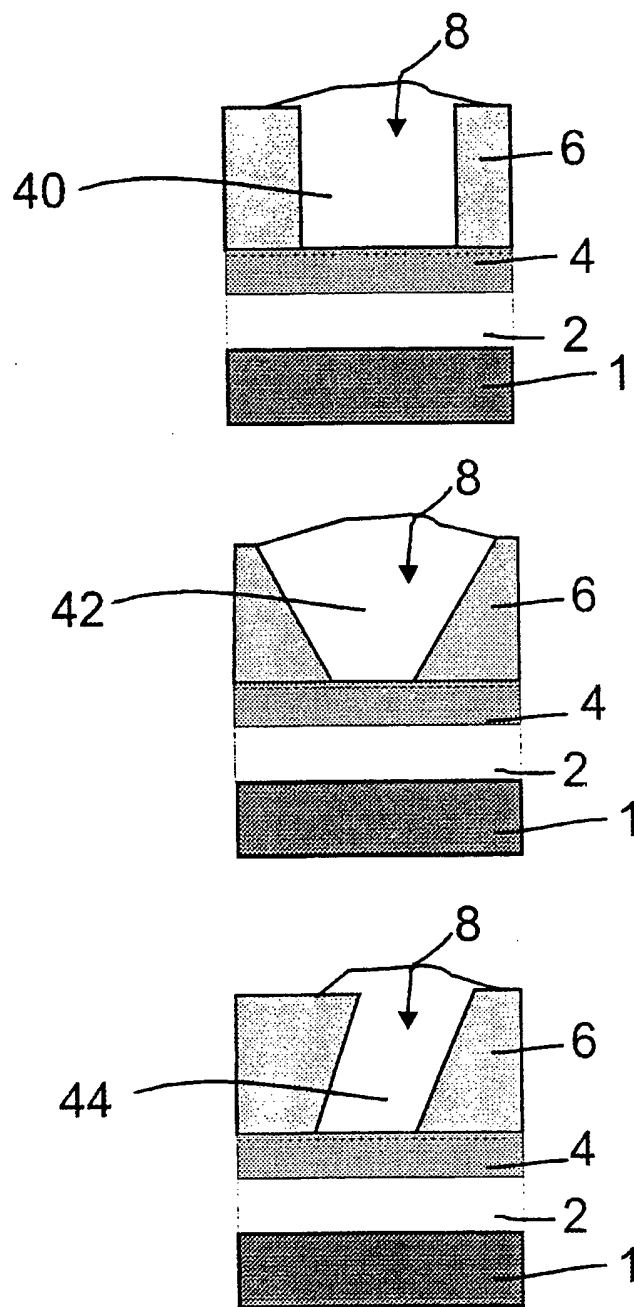


Fig 4

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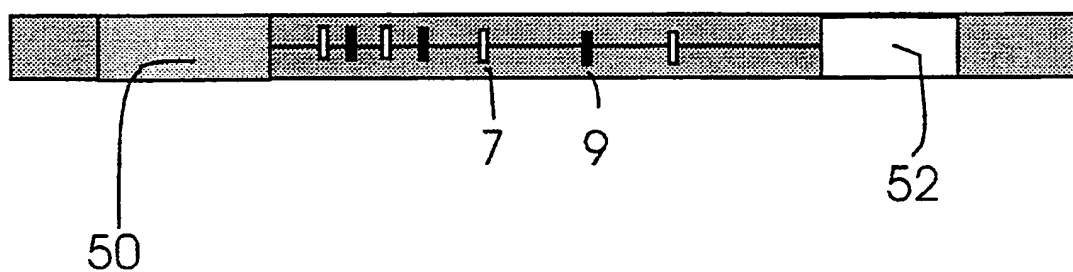


Fig 5

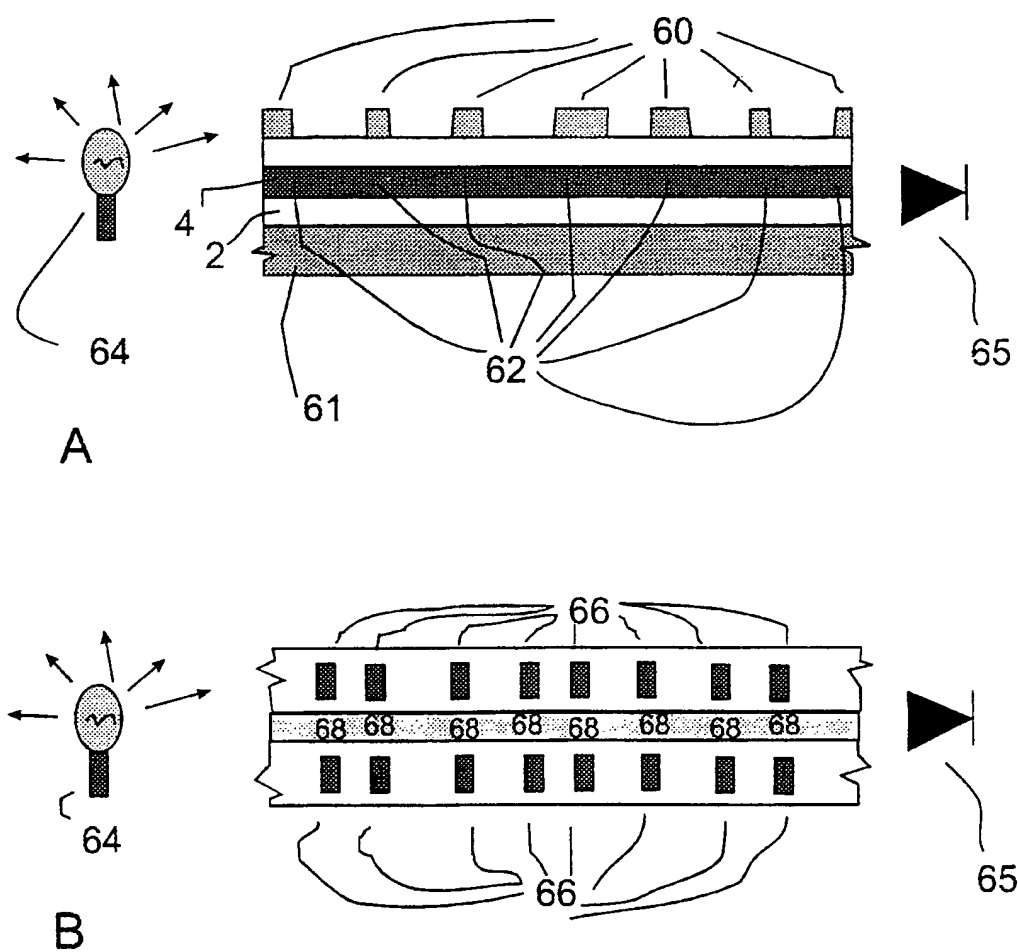


Fig 6



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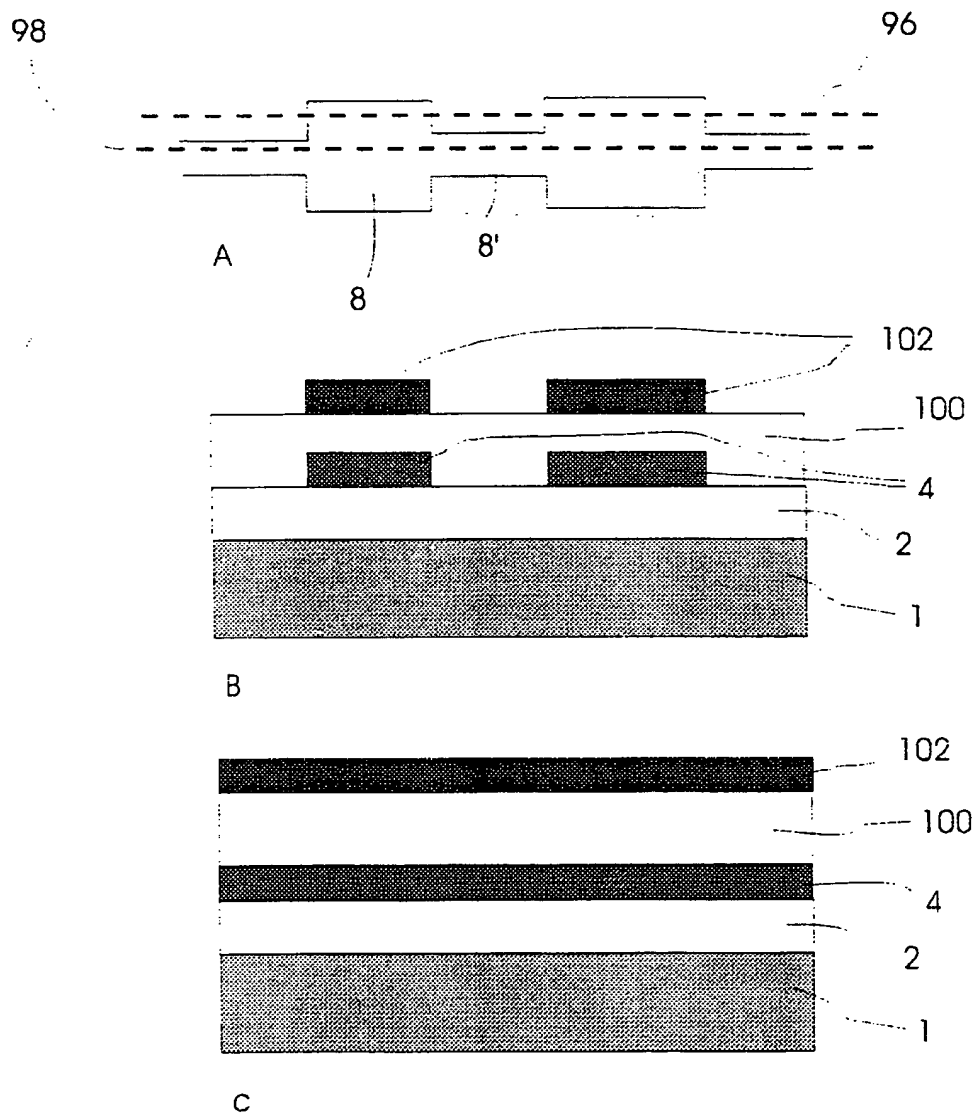


Fig 8

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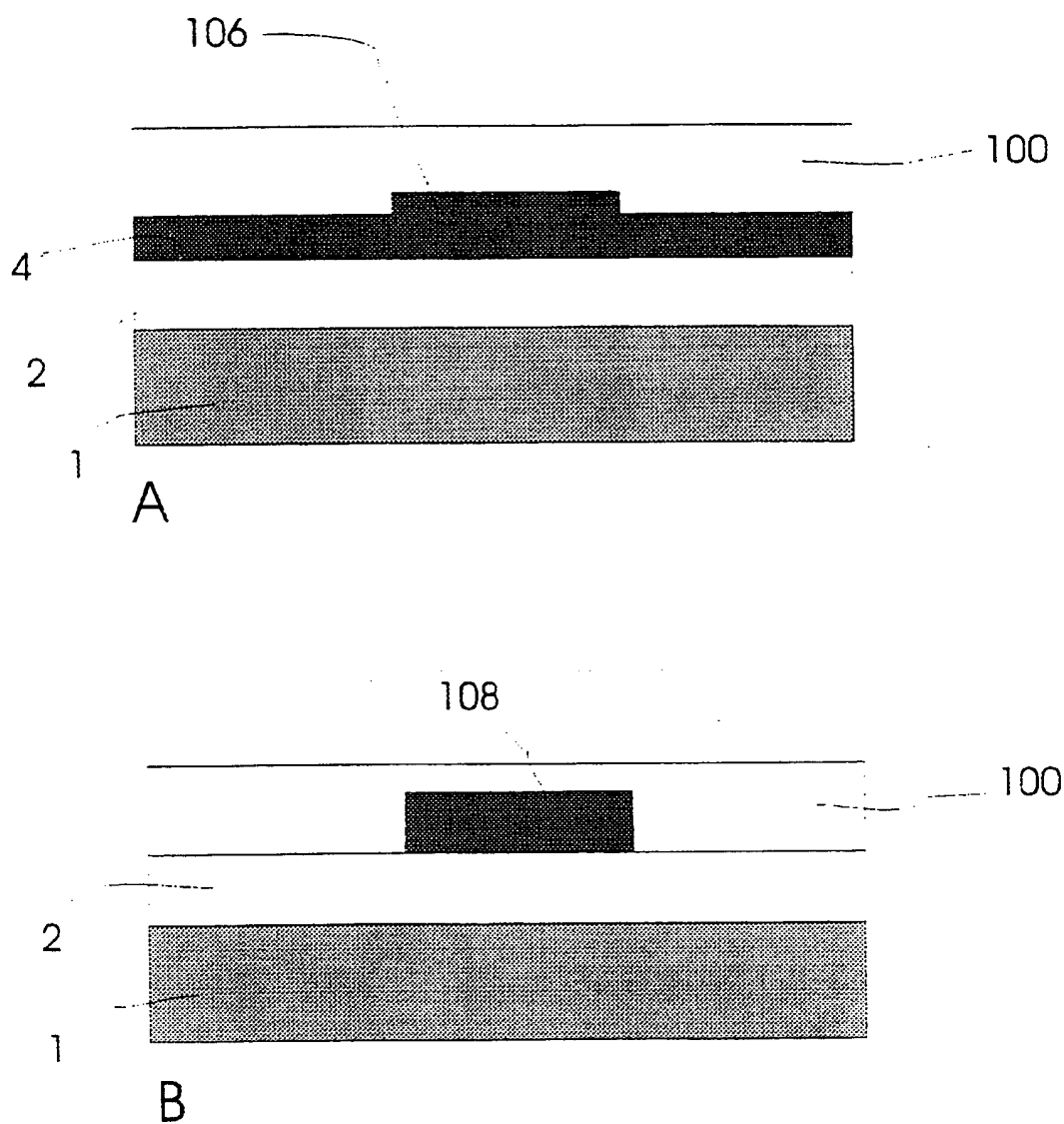


Fig 9

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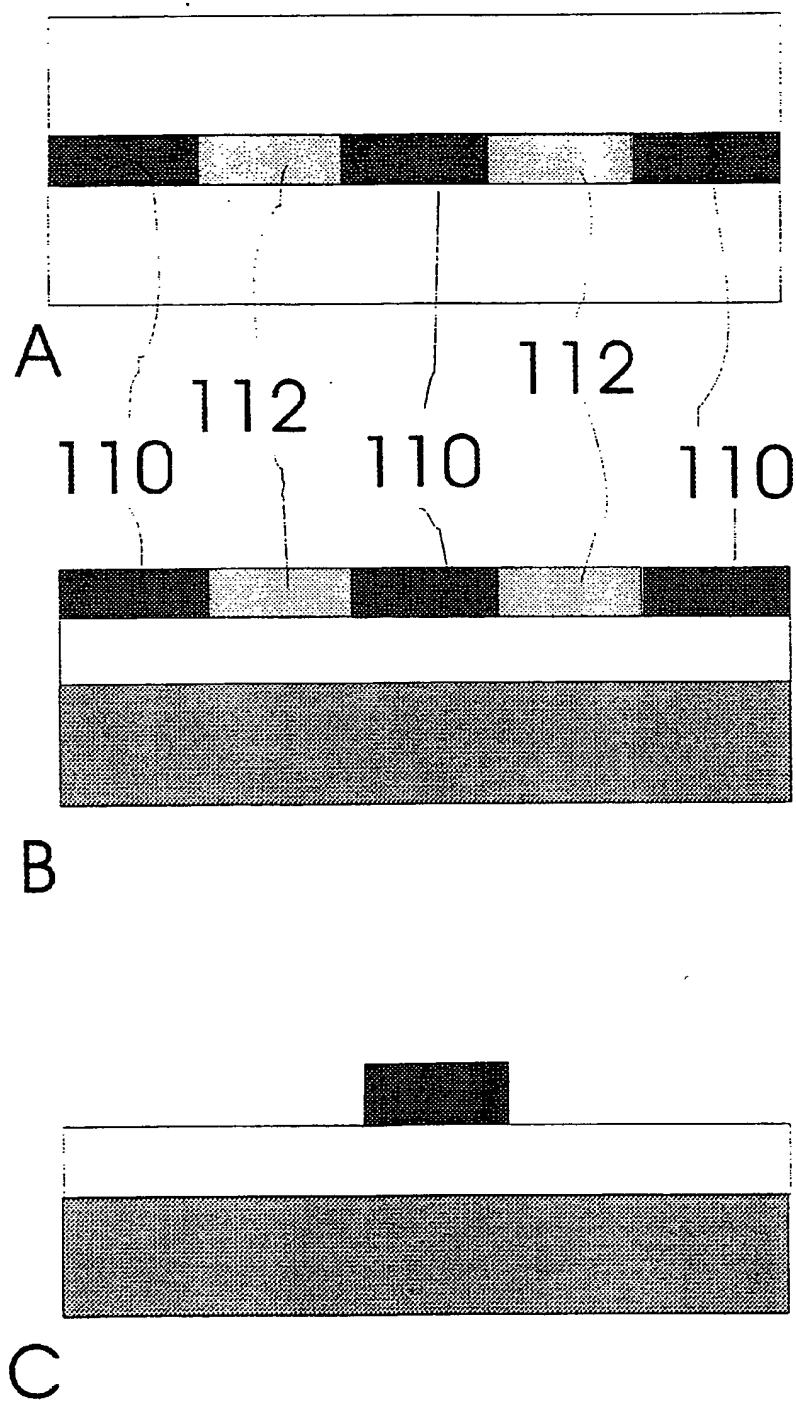


Fig 10

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/NL 99/00222

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 G01N21/77 G01N33/543 G02F1/035 G02B6/12 G02F1/01

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G01N G02F G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WANG M R ET AL: "SINGLE-MODE/MULTIMODE WAVEGUIDE ELECTRO-OPTIC GRATING COUPLER MODULATOR" APPLIED PHYSICS LETTERS, vol. 66, no. 20, 15 May 1995 (1995-05-15), pages 2628-2630, XP000507567 ISSN: 0003-6951	1,2,5, 14,23,32
A	abstract; figures 1,2 page 2628, left-hand column, last last - right-hand column, line 1 page 2629, right-hand column, last last - page 2630, left-hand column, line 2	24,31
A	--- US 4 753 505 A (WATANABE TARO ET AL) 28 June 1988 (1988-06-28) abstract; figures 3,7 column 4, line 6 - line 7 column 5, line 1 - column 15 --- -/--	2,25



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
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Date of the actual completion of the international search

26 July 1999

Date of mailing of the international search report

04.08.99

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/NL 99/00222

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 280 172 A (DI BIN PHILIPPE ET AL) 18 January 1994 (1994-01-18)	1-4
Y	abstract	6,12,13, 19
A	column 2, line 24 - line 52  column 3, line 56 - line 65 column 4, line 19 - line 44 column 4, line 66 - column 5, line 9 figures 1-3,6  ---	10,11, 16,18, 20,35
Y	EP 0 534 670 A (TEXAS INSTRUMENTS INC) 31 March 1993 (1993-03-31) abstract; figures 1,2,5 column 1, line 48 - line 55 column 2, line 1 - line 24 column 2, line 29 - line 33 column 2, line 46 - last last column 5, line 34 - line 56 column 7, line 23 - line 48  ---	6,12,13
Y	US 5 253 037 A (KLAINER STANLEY M ET AL) 12 October 1993 (1993-10-12) abstract; figures 2,3 column 1, line 36 - line 50 column 3, line 20 - line 44 column 3, line 55 - line 63  ---	19
A	EP 0 495 413 A (CANON KK) 22 July 1992 (1992-07-22) column 1, line 45 - line 52 column 2, line 13 - line 53 column 3, line 1 - line 28 column 7, line 8 - line 12 column 10, line 33 - line 48 column 11, line 10 - line 20 figures 1,4,9  ---	15,33,35
A	US 4 917 450 A (POCHOLLE JEAN P ET AL) 17 April 1990 (1990-04-17) abstract; figure 1  ---	33
A	G J VELDHUIS ET AL: "An integrated optical Bragg-reflector used as a chemo-optical sensor" PURE AND APPLIED OPTICS, vol. 7, no. 1, 1 January 1998 (1998-01-01), pages L23-L26, XP002087839 ISSN: 0963-9659 page L23, paragraph 2 - paragraph 3 page L24, last paragraph figures 1,2  ---  -/--	1,9,19

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/NL 99/00222

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 5 737 457 A (COULTER STEPHEN L ET AL) 7 April 1998 (1998-04-07) abstract; figures 1C,3D column 1, line 43 - line 63 column 2, line 50 - line 55 column 2, line 62 - line 64 column 3, line 11 - line 13 column 3, line 16 - line 23 ---</p>	12,13,21
A	<p>US 5 137 359 A (STEELE JAMES R) 11 August 1992 (1992-08-11) abstract; figure 4 column 2, line 14 - line 18 column 2, line 58 - line 63 -----</p>	35

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/NL 99/ 00222

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims: it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

**1. Claims: 1,2,5,14,23-25,31,32**

Integrated optical lightguide device that can be used for intensity modulation of the light guided through the activable element.

**2. Claims: 3,4,6-13,16-22,26-30,35**

Integrated optical lightguide that can be used as a sensor for deriving a value for an activating quantity, using the proportion between the amount of light entering and exiting the activable element.

**3. Claims: 15,33,34**

Integrated optical lightguide that can be used for wavelength-sensitive measurements of the light.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 99/00222

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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US 5137359 A	11-08-1992	NONE	

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